SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL

OMB Number:	3235-0287
Estimated average be	urden
hours per response:	0.5

to Section 16.	t if no longer subje Form 4 or Form 5 ly continue. <i>See</i>).	_	Filed pursuant to Section 16(a) of the Securities Exchange Act of 193 or Section 30(h) of the Investment Company Act of 1940	-	OMB Number: Estimated average bi hours per response:	3235-0287 urden 0.5		
1. Name and Addi Swayne Sco (Last) 111 N. CANA SUITE 325	0tt (First)	g Person* (Middle)	2. Issuer Name and Ticker or Trading Symbol POTBELLY CORP [PBPB] 3. Date of Earliest Transaction (Month/Day/Year) 05/04/2022	me and Ticker or Trading Symbol 5. Relationship of Reporting (Check all applicable) LLY CORP [PBPB] Director arliest Transaction (Month/Day/Year) Chick all applicable		10% Owner Other (specify below)		
(Street) CHICAGO (City)	IL (State)	60606 (Zip)	4. If Amendment, Date of Original Filed (Month/Day/Year)	Line) X Form fileo Form fileo Person	nt/Group Filing (Chec I by One Reporting P I by More than One R	erson		
		Table I - Non-Dei	rivative Securities Acquired, Disposed of, or Bene	eficially Owned				

1. Title of Security (Instr. 3)	Date	2A. Deemed Execution Date,		4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and		6. Ownership Form: Direct	7. Nature of Indirect Beneficial					
	(Month/Dav/Year)	l if anv	Code (Instr.	5)	Beneficially	(D) or Indirect	I E					

	(Month/Day/Year)	if any Code (Instr. 5) (Month/Day/Year) 8)		5)				(D) or Indirect (I) (Instr. 4)	Beneficial Ownership (Instr. 4)		
			Code	v	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		(1150.4)	
Common Stock	05/04/2022		F ⁽¹⁾		2,079	D	\$6.51	37,984	D		

	Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)														
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)		5. Number of		Expiration Date (Month/Day/Year)		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)	
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				

Explanation of Responses:

1. Shares withheld for payment of tax liability upon vesting of the restricted stock units granted on April 26, 2021.

Remarks:

/s/ Scott Swayne

** Signature of Reporting Person Date

05/05/2022

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.